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DOCKET NO.: MSFT-2733/305587.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Winnie C. Wu, et al.

Confirmation No.: 9794

Application No.: 10/646,575

Group Art Unit: 2165

Filing Date: August 21, 2003

Examiner: Abel, Jalil, Neveen

For: **SYSTEMS AND METHODS FOR INTERFACING APPLICATION
PROGRAMS WITH AN ITEM-BASED STORAGE PLATFORM**

DATE OF DEPOSIT: **APRIL 12, 2006**

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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TYPED NAME: Jonathan M. Waldman
REGISTRATION NO.: 40,861

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☒ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **104 thru 110, 116** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **111 thru 115** on the attached Form PTO 1449 are not required to be submitted pursuant to the waiver of 37 CFR § 1.98(a)(2)(i).

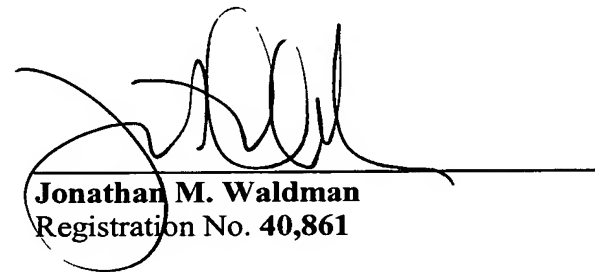
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

The reference(s) cited on the attached PTO-1449 form was/were cited in the International Search Report Written Opinion dated **February, 27, 2006, March 8, 2006** that was received in the corresponding PCT application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: **APRIL 12, 2006**



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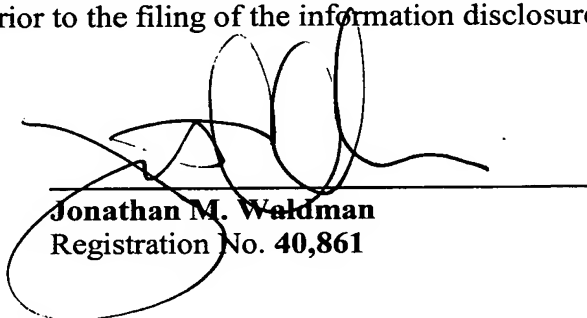
CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: APRIL 12, 2006



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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-2733/305587.01		Application No. 10/646,575
	Applicant Winnie C. Wu, et al.		
	Filing Date August 21, 2003		Group 2165
	Confirmation No. 9794		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	104	Guy, R.G. et al., "Implementation of the Ficus Replicated File System", <i>Proceedings of the Summer USENIX Conference</i> , June 1990 , 63-71, XP 002234187	
	105	Seshadri, P. et al., "SQLServer for Windows CE-a Database Engine for Mobile and Embedded Platforms", <i>Data Engineering, IEEE</i> , 2000 , 642-644, XP010378761	
	106	SyncML Sync Protocol, Version 1.0, 2000 , 60 pages, XP-002217356	
	107	Gray, J. et al., "The Dangers of replication and a Solution", <i>SIGMOD</i> , 1996 , 25(2), 173-182, XP-002146555	
	108	Helal, S. et al., "A Three-tier Architecture for Ubiquitous Data Access", <i>Computer Systems and Applications ACS/IEEE</i> , June 2001 , 177-180, XP010551207	
	109	Kistler, J.J. et al., "Disconnected Operation in the Coda File System", <i>ACM Transactions on Computer Systems</i> , February 1992 , 10(1), 3-25, XP000323223	
	110	Kistler, J.J. et al., "Increasing File System Availability through Second-Class Replication", <i>IEEE</i> , 1990 , 65-69, XP010021244	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	111	5,774,717	06/30/98	Porcaro, et al.		
	112	6,085,192	07/04/00	Mendez, et al.	707	10
	113	6,151,606	11/21/00	Mendez	707	201
	114	6,553,391 B1	04/22/03	Goldring, et al.		
	115	6,708,221 B1	03/16/04	Mendez, et al.	709	248

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	116	WO 02/075539 A2	09/26/02	PCT		

EXAMINER**DATE CONSIDERED**